



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6/ Beef
dec.

APPLICANT : BENSON, David L.
SERIAL NO : 09/759,790
FILED : January 12, 2001
TITLE : HYBRID MAIZE PLANT & SEED 34B97

RECEIVED

JUN 28 2001

Grp./A.U. : 1638
Conf. No. : 2855
Docket No. : P04810US0

TECH CENTER 1600/2900

TRANSMITTAL LETTER

To the Assistance Commissioner for Patents
ATTENTION: BOX MISSING PARTS
Washington, D.C. 20231

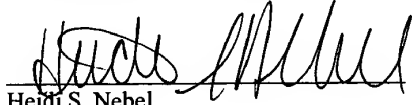
Dear Sir:

In response to the Notice to File Missing Parts of Application with Filing Date Granted,
enclosed please find the following documents:

- (1) Combined Declaration and Power of Attorney signed by inventor David L. Benson dated January 5, 2001, originally filed with application on January 12, 2001;
- (2) Fee Transmittal Form authorizing the Commissioner to charge indicated fees and credit any overpayment to Deposit Account 16-1852 under the name of Pioneer Hi-Bred International, Inc.;
- (3) A copy of the Notice to File Missing Parts of Application;
- (4) A copy of date stamped postcard received from Patent Office originally filed fee transmittal dated January 12, 2001;
- (5) A copy of originally filed fee transmittal dated January 12, 2001.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this document and the documents referred to as enclosed therein are being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 29 day of May, 2001.


Heidi S. Nebel



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 2,206

Complete if Known

Application Number 09/759,790
Filing Date January 12, 2001
First Named Inventor BENSON, David L.
Examiner Name
Group Art Unit 1638
Attorney Docket No. P04810US0 PHI 1317

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METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 16-1852
Deposit Account Name Pioneer Hi-Bred International, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710

2. EXTRA CLAIM FEES

Total Claims 32 - 20** = 12 x 18 = 216
Independent Claims 19 - 3** = 16 x 80 = 1280
Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1,496

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type) Heidi S. Nebel
Signature

Registration No. 37,719
(Attorney/Agent)

Complete (if applicable)

Telephone 515-288-3667
Date 5/29/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

TECH CENTER 1600/2900

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/759,790	01/12/2001	David L. Benson	P04810US0 PHI 1317

CONFIRMATION NO. 2855

27142
ZARLEY, MCKEE, THOMTE, VOORHEES & SEASE
ATTN: PIONEER HI-BRED
801 GRAND AVENUE, SUITE 3200
DES MOINES, IA 50309-2721

FORMALITIES LETTER



OC000000005928541

Date Mailed: 04/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/04/2001 AOSMAN1 00000015 161852 09759790

01 FC:102 1280.00 CH
02 FC:103 216.00 CR

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$216.
 - \$216 for 12 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1056.

Adjustment date: 06/06/2001 AOSMAN1
06/04/2001 AOSMAN1 00000015 161852 09759790
02 FC:103 216.00 CRA copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 06/06/2001 AOSMAN1
06/04/2001 AOSMAN1 00000015 161852 09759790
01 FC:102 1280.00 CR06/06/2001 AOSMAN1 00000042 161852 09759790
01 FC:105 130.00 CH



RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: BENSON, David L.
SERIAL NO.: NEW PATENT APPLICATION
TITLE: HYBRID MAIZE PLANT & SEED 34B97

Receipt is hereby acknowledged of the accompanying:

- Utility Patent Application Transmittal
- Fee Transmittal Form (Payment charged to PHI Deposit Account)
- Request and Certification Under 35 U.S.C. 22(b)(2)(B)(1)
- Specification (54 pages)
- Executed Declaration (yes) (no) (2 pages)
- Information Disclosure Statement (2 pages)
- PTO Form 1449 with copies of all cited art
- Express Mail Certification (Label EL745292002US)



On January 12 2001 the above described documents were mailed to the Assistant
Commissioner for Patents, Box New Application, Washington, D.C. 20231
P04810US0 P020-778 PHI 1317 - pw-/HSN

KPS